Mass transit route network design using genetic algorithm

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MASS TRANSIT ROUTE NETWORK DESIGN USING GENETIC ALGORITHM

Jin-Yuan Wang* and Chih-Ming Lin

ABSTRACT

The mass transit route network design (MTRND) problem is a bi-level NP-hard problem and difficult to solve for a global optimum solution. This paper proposes a genetic algorithm for solving the MTRND problem. In the proposed algorithm, two smart generating methodologies are formulated to achieve a better searching space for the initial feasible solution. An efficient network model, a gene repairing strategy and a redundancy checking mechanism were applied to minimize the computation time. Improved fitness function was embedded with the passenger assignment model and utilized to improve the quality of the solution. The proper combination of crossover operators and mutation operators was found for the MTRND. The proposed algorithm was tested with the current MRT network in Taipei as a specimen. Results indicate that the proposed algorithm is effective in solving real-world problems.

Key Words: mass transit systems, passenger assignment, network design, genetic algorithm.

I. INTRODUCTION

The route network design problem for mass rapid transit (MTRND) involves determining a set of service routes and the associated headway, minimizing system operating costs and passenger travel costs subject to relevant operational constraints. Conventionally, this task is done manually in two stages. The designer in the first stage transfers the given demand matrix into network link flows based on rules of thumb. Then an initial solution is obtained by accommodating certain system requirements (such as policy service level). Actual link flows are estimated in the second stage by simulating passengers’ route choice behavior. If the flow exceeds the capacity on some links, the designer must make some modifications, affecting each link cost. After adjusting the solution, passengers may have different route choices and link flows may change again. The process repeats until all constraints are satisfied.

The abovementioned process relies heavily on intuitive principles based on the designer’s own experiences. The process is designer-dependent and lacks consistency and reliability. Therefore, more efficient MTRND methods are desired.

MTRND is a special type of transit network design and frequencies setting problem (TNDFSP). There are various modeling and solution techniques for TNDFSP. Readers may refer to recent works by Wirasinghe (2003), Ceder (2003), Fan and Machemehl (2004) and Guihaire and Hao (2008) for comprehensive reviews. However, most of these past works focus on urban bus systems (Guan et al., 2006) with little emphasis on MTRND. Zhao and Zeng (2006) treated MRT as a express bus system, and solved for it together with other transit systems. Guan et al. (2006) developed an integrated model for simultaneous optimization of transit line configuration and passenger line assignment in a general network to balance transit line operating cost, passenger transfer times, and total travel distance. The model is formulated as a binary integer programming problem, illustrated with a couple of minimum spanning tree networks and solved by the standard branch and bound method. The model was tested with a simplified version of the general Hong Kong mass transit railway...
In addition to most of the TNDFSP models, the MTRND needs more MRT-specialized characteristics such as platform capacity, congestion penalties for overloaded trains, no missed trips, all stations and segments must be served, and an exact fleet size. Thus an MTRND model which can reflect real-world considerations and an efficient and robust solution algorithm is needed.

Because the genetic algorithm has the ability to do global searching, construct objective functions easily, add many constraints, and access variable network problems, this paper applies a genetic algorithm to resolve our proposed MTRND model.

We discuss the applications of GA on TNDFSP here since few studies before now have been directly related to MRTND. Guihaire and Hao (2008) presented a comprehensive review of the crucial strategic and tactical steps of transit planning, including GA-based models for TNDFSP. Tom and Mohan (2003) proposed an SRFC model which incorporates line frequency as a variable, and simultaneously determines transit line routes and frequencies, with the objective of minimizing both operating costs and passengers’ total travel time. A sample network defined by 75 nodes and 125 links (Chennai, India) has been established to demonstrate the performance of the model. Agrawal and Tom (2004) proposed two SRFC-based parallel GA models. The models were tested by a large urban (New Delhi, India) route network with 1,332 nodes and 4,076 links for computation time, speed, and efficiency. Fan and Machemehl (2004, 2006a) proposed a genetic algorithm tested by a 160 node, 418 link example network to systematically examine the underlying characteristics of the optimal bus TNDFSP with variable transit demand. Finally, the application of Genetic algorithms to the TNDFSP was studied by Zhao and Zeng (2006a). A stochastic search scheme based on a simulated annealing and GA search method has been developed to obtain results for large-scale practical problems.

This paper is organized as follows. First, a bi-level programming model of MTRND is presented together with a network representation. Then the proposed genetic algorithm is described, followed by a case study based on the current TRTC mass transit network to illustrate real-world application of the proposed model and algorithm. Conclusions are given in the last section.

II. PROBLEM STATEMENT OF MTRND

The MTRND can be represented as the following bi-level mathematical model:

\[ \text{Min}_u \ F(u, v(u)) \]  

s.t. 
\[ G(u, v(u)) \leq 0, \]

where \( v(u) \) is implicitly defined by
\[ \text{Min}_v \ f(u, v) \]  

s.t. 
\[ g(u, v) \leq 0. \]

\( F \) is the objective function of the upper-level problem, which minimizes operating costs and passenger traveling costs. \( u \) represents a decision vector of the upper-level problem (targeting designer), which is a set of routes and the associated headway; \( G \) is the constraint set of the upper-level problem, such as level of service, link capacity, network connectivity, fleet size, and limits of headway. \( f \) is the objective function of the lower level problem (targeting passenger), which minimizes travel costs. \( v \) is a decision vector of the lower-level problem, representing a set of link flows. \( g \) is the flow conservation constraint for the lower-level problem.

This work assumes that for any given \( u \), there is a unique equilibrium solution \( v(u) \) obtained from the lower-level problem. The \( v(u) \) is called the reaction function. It is in effect a nonlinear equity constraint of the upper-level problem and a congestion penalty of the objective function \( F \); thus MTRND is intrinsically non-convex, which is an NP-hard problem and difficult to solve optimally (Ben-Ayed et al., 1988; Yang et al., 1994; Constantin and Florian, 1995; Yin, 2000; Gao et al., 2005; Chiou, 2005).

The lower-level problem represents the passengers’ route choice behavior in response to costs decided by the upper-level decision maker. The current work assumes that passengers make their route choices in a user-optimal manner; hence the lower-level problem can be formulated as a standard user equilibrium assignment problem, or a stochastic user equilibrium problem (Sheffi, 1985).

III. NETWORK REPRESENTATION

Figure 1(a) represents a nine-station, eight-segment mass transit network. Fig. 1(b) shows five available routes in the candidate set. For example, route 1 represents the 1-4-7-8-7-4-1 travel path. Trains stop at each station and reverse at terminal stations (1 and 8). Round trip time and round trip distance of route 1 is \( RT_1 \) and \( RL_1 \). If route 1 is chosen and its associated frequency is \( F_1 \), then \( s_1 \) is set to 1 and the number of trains required by route 1 \( TS_1 \) is calculated by Eq. (5).
\[ TS_1 = |s_1 \times RT_1 \times F_i| \] 

(5)

Traditionally, a mathematical programming model must consider all possible routes and use binary variable \( s_i \) to decide whether to choose the \( i \)th route or not. Then constraint (6) ensures that the total number of trains used does not exceed the fleet size \( TRC \).

\[ \sum_{i=1}^{n} TS_i \leq TRC \] 

(6)

Figure 1(c) represents the network model for this example, with five link types based on various passenger activities described in Table 1. Nodes correspond to exits, entrances, boarding, alighting, and platform. No costs are associated with nodes. Also, the exit/entrance node (i.e. stations 1–9) will be the sink/source of trips in the passenger assignment problem.

For example, the bold solid line of Fig. 1(c) depicts passenger movements from station 1 to station 6. A passenger enters station 1, walks to the platform, waits, boards the train of route 1, and alights at the platform of interchange station 4. If route 4 and 5 are chosen simultaneously, he may take route 4 to reach station 6 directly, or alternatively, he may take route 5 to station 5, alight at the platform, and then transfer to route 4 to station 6. Making transfers takes time and is inconvenient. Passenger assignment problems should therefore take into account in-vehicle travel time as well as transfer time. Transfer time from one route to another in the example mentioned...
above can be estimated exactly by additional links of alighting, waiting, and boarding. Therefore, these five link types can represent passenger movements adequately and reasonably, thus simplifying the mathematical programming problem.

The free travel cost of $a$-type and $e$-type links in Table 1 represents the time walking on the un-congested walk lane. The free travel cost of the $b$-type link is the waiting time at the platform. Suppose the arrival rate of passengers is a normal distribution. Then the free travel cost of a $b$-type link is half the headway of the associated route (Turmquist, 1978). The free travel cost of the $c$-type link is in-vehicle time between two adjacent stations. Depending on the associated route timetable, free travel cost is usually constant. Capacities of the $a$-type and $e$-type link are the design capacities of walk lanes. The capacities are calculated adequately in the construction phase of mass transit system stations and can be collected easily from the associated design documents.

Capacities of the $b$-type and $d$-type link are design capacity parts of the associated platform. The capacity of the $c$-type link is the capacity sum of trains of the associated route passing through the origin node, which is decided by the associated route headway.

Some links may be overloaded and become crowded after the passenger assignment phase. Such a condition causes passenger inconvenience on the links. Passenger total cost should therefore take into account free travel cost as well as congestion penalty.

The following notable assumptions are made in the formulation:
1. The MTRND is a long-term plan. The O-D matrix of the mass transit system is not affected by the plan result.
2. The fare depends on the shortest distances from origin stations to destination stations. Passenger cost affected by routes and associated headway is travel time and congestion penalty.
3. The MTRND focuses on a peak hour plan. Route headway of non-peak hours is set to policy headways to perform at a required level of services.
4. Trains stop at every associated route station, and dwelling time and turnover time are constant. Thus the round distance and time of routes $RL_i$ and $RT_i$ are constant.
5. Train capacities are the same and constant.
6. Peak hour passengers are commuters with the same time values. They act rationally and know all relevant travel cost information, and travel the shortest paths from original stations to destination stations.

### IV. FORMULATION OF MTRND

The MTRND problem stated in the previous section can be formulated as a nonlinear mixed-integer bi-leveling programming model shown below:

$$\begin{align*}
\text{Min} & \quad \alpha \times \sum_{i=1}^{n} RL_i \times F_i \times s_i + \beta \times \sum_{i=1}^{n} RT_i \times F_i \times s_i + \lambda \\
& \times \gamma \times \left( 2 \sum_{a=1}^{n_e} \int_{0}^{x_a} TC(x) \, dx \right) + 2 \sum_{b=1}^{n_b} \int_{0}^{x_b} TC(x) \, dx \\
& + \sum_{c=1}^{n_c} \int_{0}^{x_c} TC(x) \, dx + 2 \sum_{d=1}^{n_d} \int_{0}^{x_d} TC(x) \, dx \\
& + 2 \sum_{e=1}^{n_e} \int_{0}^{x_e} TC(x) \, dx , \\
\text{s.t.}
\end{align*}$$

where $TC = \text{passenger travel cost with congestion penalty on the link}$; $t_0 = \text{free travel cost when the link is not congested}$; $x = \text{passenger volume on the link}$; $v_c = \text{link capacity described in Table 1}$. 

#### Table 1 Five link types of passengers’ movement

<table>
<thead>
<tr>
<th>Link type</th>
<th>Movement of passengers</th>
<th>Free travel cost</th>
<th>Capacity</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>Enter the origin station and walk to the platform</td>
<td>Walking time when the walk lane is not congested</td>
<td>Design capacity of walk lane</td>
</tr>
<tr>
<td>b</td>
<td>Wait on the platform and board the train</td>
<td>Waiting time</td>
<td>Design capacity of platform</td>
</tr>
<tr>
<td>c</td>
<td>In the train</td>
<td>In-vehicle time</td>
<td>Corresponding route capacity</td>
</tr>
<tr>
<td>d</td>
<td>Alighting</td>
<td>Alighting time when the platform is not congested</td>
<td>Design capacity of platform</td>
</tr>
<tr>
<td>e</td>
<td>Walk out on the platform and exit the station</td>
<td>Walking time when the walk lane is not congested</td>
<td>Design capacity of walk lane</td>
</tr>
</tbody>
</table>
\[
\sum_{i=1}^{n} |RT_i \times F_i \times s_i| \leq TRC, \quad (9)
\]
\[
\sum_{j=1}^{b} \theta_j \times s_j \geq 1, \text{ for all line segment } j, \quad (10)
\]
\[
\sum_{j=1}^{b} \theta_j \times s_j \geq OT, \text{ for all line segment } j, \quad (11)
\]
\[
\sum_{j=1}^{b} \theta_j \times F_j \times s_j \leq PT, \text{ for all line segment } j, \quad (12)
\]
\[
F_i \geq 0, \quad (13)
\]
\[
\text{Min } 2 \sum_{a=1}^{n_a} \int_{0}^{x_a} TC(x)dx + 2 \sum_{b=1}^{n_b} \int_{0}^{x_b} TC(x)dx + \sum_{c=1}^{n_c} \int_{0}^{x_c} TC(x)dx + 2 \sum_{d=1}^{n_d} \int_{0}^{x_d} TC(x)dx + 2 \sum_{e=1}^{n_e} \int_{0}^{x_e} TC(x)dx, \quad (14)
\]
\[
\text{s.t.}
\]
\[
\sum_{k} f_i^r = T_{rs}, \text{ for all O-D pairs } (r, s), \quad (15)
\]
\[
x_a = \sum_{k} f_i^r \times \delta_{ak}, \quad a = 1 - n_a, \quad (16)
\]
\[
x_b = \sum_{k} f_i^r \times \delta_{bk}, \quad b = 1 - n_b, \quad (17)
\]
\[
x_c = \sum_{k} f_i^r \times \delta_{ck}, \quad c = 1 - n_c, \quad (18)
\]
\[
x_d = \sum_{k} f_i^r \times \delta_{dk}, \quad d = 1 - n_d, \quad (19)
\]
\[
x_e = \sum_{k} f_i^r \times \delta_{ek}, \quad e = 1 - n_e, \quad (20)
\]
\[
f_i^r \geq 0, \quad (21)
\]
\[
x_a, x_b, x_c, x_d, x_e \geq 0, \quad (22)
\]

where \( \alpha \) = unit operating cost per train-km; \( \beta \) = depreciation per train-hour; \( \gamma \) = passenger’s in-vehicle time cost per hour; \( \lambda \) = weight which is decided by designer; the other symbols are described in the notation section.

The objective function (8) is the generalized cost of the mass transit system and passengers, measured in monetary cost. It contains three items. The first item reflects the energy cost, which is the product of total trip distance of all routes and the conversion parameter \( \alpha \). The second item reflects the depreciation cost, which is the product of total trip time of all routes and the conversion parameter \( \beta \). The third item reflects the travel cost, which is the product of total time value of all passengers and the conversion parameter \( \lambda \).

Constraint (9) limits the sum of trains used by routes within the fleet size. Constraint (10) is used to ensure that all stations and line segments are served. Constrains (11)-(12) are used to ensure that all line segment frequencies between every two adjacent stations are in the minimum to maximum headway range.

Constraints (14)-(22) denote the lower-level MTRND problem, a passenger assignment problem. Both the objective function (14) and the third item of Eq. (8) sum up travel cost of all link types. Based on the study of Chang and Guo (2007), the value of out-of-vehicle time (i.e. \( a, b, d \) and \( e \)-type links) is assumed as two times that of in-vehicle time (i.e. \( c \)-type links). Constrains (15)-(22) are traditional flow conservation constraints.

The aforementioned problem is NP-hard because its upper-level problem is an NP-hard set-covering problem and the reaction function \( TC(x) \) is a non-linear function.

### V. PROPOSED SOLUTION ALGORITHM

Genetic algorithms prove to be very effective for solving bi-level problems (Yin, 2000). The complicated network structure and large number of constraints and variables make it very difficult to obtain optimal MTRND solutions. Thus, heuristics, such as genetic methods (Guan et al., 2006), are often adopted to solve the problem approximately and efficiently. This work develops a genetic algorithm based on MTRND characteristics, and applies several improvement strategies to enhance solution quality and save computational time.

Figure 2 depicts the flowchart of the proposed MTRND genetic algorithm. Descriptions of important steps of the proposed algorithm follow.

#### 1. Genetic Encoding

This coding scheme represents a chromosome (i.e., a solution) by a positive integer vector. Each individual chromosome gene represents the number of trains used by the corresponding route in the ordered candidate route set. Hence, chromosome length is equal to the number of candidate routes. Although the proposed model requires pre-set candidate routes as input, these routes can be obtained easily from the operation manual. There is no need to determine these routes manually. A route is neglected if the associated gene is assigned the value of 0. The \( i \)-th route is chosen with the non-zero value \( f_i \) of the associated gene, and the associated frequency \( f_i \) can be calculated easily by Eq. (23).

\[
f_i = \frac{TS_i}{RT_i} \quad (23)
\]
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Figure 3 represents an \( n \)-gene chromosome. There are \( n \) routes in the chromosome. The number of trains used by the first route is 10, by the second route is 3, by the third route is 0 (hence the third route is not chosen), and so on. The sum of the gene values is the number of trains used, which must not exceed the pre-determined fleet size.

2. Initialize Feasible Solutions

The initial solutions are generated randomly based on the coding scheme described in section V.1 and should satisfy the hard constraints (9)-(12). A solution violating any of them becomes an infeasible solution. A number of infeasible solutions may appear before finding enough feasible solutions because of MTRND characteristics; and it takes some time to get enough feasible solutions. The current study develops two faster methods to generate enough feasible solutions according to operational experience: the 1-car method and the minimum-car method. The basic concept considers all candidate set routes and calculates their maximum and minimum trains. The 1-car method sets the minimum number of train routes to 1. The number of trains used by the chosen route is determined randomly between the maximum and the minimum. After all chromosome genes are generated this way, constraints (9)-(12) are re-checked for feasibility. A feasible solution results if all constraints are satisfied.

The steps of these two methods are described below:

(i) Set each route frequency in the candidate route set to 15. Compute the maximum number of trains used by each route \( TR_i^{\max} \) using the formulation given in Eq. (24).

\[
TR_i^{\max} = RT_i \times f_i
\]  

(ii) Compute the minimum number of trains used by each route \( TR_i^{\min} \) as follows:

a. If the method is the 1-car method, let the minimum number of trains of each route be 1.

b. If the method is the minimum-car method, solve the passenger assignment problem by taking all candidate set routes into consideration and relax the constraints (9)-(12). After getting the maximum flow of each route \( F_i^{\max} \), compute each \( TR_i^{\min} \) using Eq. (25).

\[
TR_i^{\min} = \frac{F_i^{\max}}{TR_c},
\]  

where \( TR_c \) = capacity of each train.

(iii) Implement the following steps for each chromosome, repeating these steps until there are enough feasible solutions.

a. For each gene in the chromosome, decide randomly whether each route is chosen or not. That is, decide whether each \( s_i \) is 1 or 0.

b. For each gene, if \( s_i \) is 1, decide \( TR_i \) randomly in the range between \( TR_i^{\min} \) and \( TR_i^{\max} \). Otherwise, let \( TR_i = 0 \).

c. After all chromosome genes are generated, examine the chromosome by checking constraints (9)-(12). The chromosome is a feasible solution if the constraints are satisfied. Otherwise abandon this chromosome and create another.

Based on operational experiences, because of the computation of \( TR_i^{\max} \) by a frequency of 15/hr (i.e. four minutes headway) and using \( TR_i^{\min} \) as well, we can decide the trains used by each route reasonably, thus getting a good initial solution search space. When each route is chosen and the number of needed trains is known, we can compute energy cost and depreciation cost using the formulation given in Eq. (8).

<table>
<thead>
<tr>
<th>Route</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>......</th>
<th>( n-1 )</th>
<th>( n )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chromosome</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>......</td>
<td>4</td>
<td>2</td>
</tr>
</tbody>
</table>

Fig. 3 An example of chromosome encoding
3. Construct Network Model

The algorithm takes the routes with non-zero number of trains into consideration and constructs a network model for each feasible solution, according to the previous section description. The passenger assignment model is utilized for the network model to get link flows. Then the travel cost using Eq. (8) formulation is computed. The constructed network model scale is not the same as the network model built by traditional mathematical programming methods. The latter considers all candidate set routes and takes more computation time. The former only considers part of the whole set, thus saving considerable computational time. This is one advantage of using a genetic algorithm to solve the MTRND problem.

4. Passenger Assignment

The passenger assignment model utilized by the algorithm is a standard deterministic Frank-Wolfe user equilibrium assignment model, based on Floyd’s shortest path method and all-or-nothing assignment method. The travel cost of each link is updated after each iteration assignment, using Eq. (7). Passenger travel cost is computed when the assignment is finished, using the Eq. (8) formulation to calculate solution fitness.

5. Repairing Strategy

A route in some feasible solutions may get very few trains, and hence have large headway and higher waiting cost. If alternative routes with higher capacities can serve the same O-D pairs, the route with higher waiting cost may get zero flow after the passenger assignment step. The associated gene becomes useless. If a useless gene can be rejected and constraints (9)-(12) satisfied simultaneously, we can improve fitness by reducing operation cost, and hence find a better solution. The method is a deep-search strategy.

This paper develops a repairing mechanism, to this end. All chosen route links are checked after the passenger assignment step. The associated gene value will be set to 0 and constraints (9)-(12) checked if a route has no flow. New solution fitness will be recomputed and compared with other solutions if these constraints are satisfied. Otherwise, the original solution is kept in the population.

6. Improved Fitness Function

The mass transit company usually buys just enough trains to operate because trains are expensive, so there might be many overloaded links. Many feasible but bad solutions occur in the searching process. These unwanted solutions, and their influence on solution quality and speed, cannot be neutralized using the penalty cost formulation given in Eq. (7).

The algorithm adds a penalty cost to the fitness function to solve such problems, as described in Eq. (26). The number of overloaded links following passenger assignment are counted and multiplied by M. The product is added to the fitness function. Bad solutions are excluded from the population because of higher fitness solutions, even though they appear frequently in the searching process, thus improving solution-searching quality and speed.

\[
F = \text{total costs} + M \times (\text{number of overloaded links})
\]

\[
= \text{Eq. (8)} + M \times \left( \sum_{a=1}^{n_a} Z_a + \sum_{b=1}^{n_b} Z_b + \sum_{c=1}^{n_c} Z_c + \sum_{d=1}^{n_d} Z_d \right)
\]

where F = fitness value; M = a big positive number;

\[
Z_a = \begin{cases} 1, & \text{if } x_a > v_a; \\ 0, & \text{otherwise}. \end{cases}
\]

\[
Z_b = \begin{cases} 1, & \text{if } x_b > v_b; \\ 0, & \text{otherwise}. \end{cases}
\]

\[
Z_c = \begin{cases} 1, & \text{if } x_c > v_c; \\ 0, & \text{otherwise}. \end{cases}
\]

\[
Z_d = \begin{cases} 1, & \text{if } x_d > v_d; \\ 0, & \text{otherwise}. \end{cases}
\]

7. Termination Criteria

The maximum number of iterations is used as the stopping rule. When the number of iterations reaches or exceeds this number, the algorithm stops and outputs the best found solution.

8. Three Crossover Operators

Since a complex crossover operator makes many infeasible offspring, we develop three simple crossover operators: one-point crossover, one-point mutation crossover, and two-point crossover. These operators are compared to see which one fits the MTRND problem in the case study section.

The operator steps are described below:

(i) Select two separated parents using a traditional wheel method (Goldberg, 1989).

(ii) Select a cut-point, and create offspring using the following methods:

(a) If the one-point crossover operator is utilized, as denoted in Fig. 4, the cut-point position is
between two adjacent genes. Offspring are obtained by exchanging the left parts of the two parents after the cut-point.

(b) If the one-point mutation crossover operator is utilized, as denoted in Fig. 5, the cut-point position is on a gene. The method is similar to the one-point crossover, the left parts of the two parents are exchanged, and the X gene of offspring 1 is a random number between 0 and \( (T_{RC} - \sum_{j=1,\; j \neq z}^{n} T_{R_{j}}) \), the Y gene of offspring 2 is a random number between 0 and \( (T_{RC} - \sum_{j=1,\; j \neq z}^{n} T_{R_{j}}) \). Utilizing these equalizations makes the offspring satisfy constraint (9).

(c) If the two-point crossover operator is utilized, as denoted in Fig. 6, two cut-points are selected, and the cut-point positions are between two adjacent genes. Offspring are obtained by exchanging the middle parts of the two parents between the two cut-points.

(d) Examine the offspring by checking constraints (10)-(12). If an offspring satisfies all constraints, it is added to the population; otherwise, it is rejected.

(e) Repeat above-mentioned steps until the number of offspring is sufficient.

9. Mutation Operator

Similarly, a complex mutation operator makes many infeasible solutions, taking more computation time. Hence we use a simple mutation operator here, and develop a checking mechanism to avoid an infeasible solution. The mutation operator steps are described below:

(i) Let \( \Lambda = [\lambda_{1}, \lambda_{2}, \lambda_{3}, \ldots, \lambda_{i}, \ldots, \lambda_{n-1}, \lambda_{n}] \) be the chromosome to be mutated at the encoded genes of the \( i \)th intersection point. Decide a constant number T as the maximum number of mutating trials for the selected chromosome.

(ii) Select a random integer \( i \) between 1 and \( n \).

(iii) Compute \( \lambda'_{i} \) using the formulation given in Eq. (27). Using Eq. (27) makes sure the new chromosome satisfies constraint (9).

\[
\lambda'_{i} = \text{random}(0, T_{RC} - \sum_{j=1,\; j \neq z}^{n} T_{R_{j}}) \tag{27}
\]

(iv) Examine the new chromosome by checking constraints (10)-(12). The new chromosome is a feasible solution if all constraints are satisfied. Otherwise, if the number of mutation trials is not greater than T, go to Step (ii). If the number of mutation trials is greater than T, then the chromosome’s mutation is rejected.

10. Redundancy Checking

The complexity of Floyd’s shortest path method is \( O(N^3) \), therefore passenger assignment computation...
time increases substantially as network size increases. We may speed up the algorithm by eliminating redundant passenger assignment computation.

This investigation specifically develops a checking mechanism to eliminate redundancies. After the crossover and mutation stage, the children are checked to see whether they are duplicated or not. If a child is duplicated, then the passenger assignment of that child will not be executed, thus saving computational time.

### VI. CASE STUDY: TRTC MASS TRANSIT NETWORK

This work applies the proposed model and algorithm to the Taipei Rapid Transit Corporation (TRTC) network as a case study. The TRTC is a mass transit system in Taipei city serving more than one million passengers daily and about one hundred thirty-five thousand passengers during weekday peak hours. TRTC operates two types of mass transit systems, the Heavy-Capacity Metro (HCM), and the Medium-Capacity Metro (MCM). We focus on the HCM main lines, excluding the MCM and HCM branch lines. The TRTC provided network structure, travel time between adjacent stations, O-D matrix, routes and associated headways as of Feb, 2007.

Figure 7 shows the simplified TRTC HCM main line network configuration. The number of stations totals fifty-four, with twenty-two candidate set routes as shown in Table 2. Table 3 shows five routes and associated headways. Fifty-three trains serve passengers in the timetable. Fig. 8 depicts the headways between end stations. Three segments are very crowded, due to heavy passenger demand during weekday peak hours, in addition to the interchange station BL7/R13 platform. Fig. 8 shows the segments by a solid bold line. Thus the TRTC uses five additional spare trains as shuttle trains to reduce congestion. Nevertheless, if more trains cannot join the operation (because of budget constraints), the crowding problem may worsen, due to growing passenger demand. Moreover, temporarily running shuttle trains is not a good idea from the perspective of auto traffic control. Our network design model provides an alternative to solve the problem.

$$\alpha, \beta, \gamma, \text{ and } \lambda$$, in (8), as obtained from operational

<table>
<thead>
<tr>
<th>Route</th>
<th>Number of stations</th>
<th>Origin-destination</th>
<th>Round trip time (min)</th>
<th>Round trip distance (km)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>30</td>
<td>R33-G1</td>
<td>121.75</td>
<td>63.66</td>
</tr>
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<td>2</td>
<td>24</td>
<td>R26-G1</td>
<td>92.15</td>
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<tr>
<td>3</td>
<td>21</td>
<td>R23-G1</td>
<td>81.17</td>
<td>37.83</td>
</tr>
<tr>
<td>4</td>
<td>23</td>
<td>R33-G7</td>
<td>94.82</td>
<td>48.59</td>
</tr>
<tr>
<td>5</td>
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<td>6</td>
<td>14</td>
<td>R23-G7</td>
<td>54.23</td>
<td>22.76</td>
</tr>
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<td>7</td>
<td>20</td>
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</tr>
<tr>
<td>8</td>
<td>17</td>
<td>BL37-BL13</td>
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<td>38.11</td>
</tr>
<tr>
<td>9</td>
<td>14</td>
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</tr>
<tr>
<td>10</td>
<td>17</td>
<td>BL40-BL16</td>
<td>65.6</td>
<td>35.99</td>
</tr>
<tr>
<td>11</td>
<td>14</td>
<td>BL40-BL13</td>
<td>54.72</td>
<td>29.65</td>
</tr>
<tr>
<td>12</td>
<td>11</td>
<td>BL40-BL10</td>
<td>45.47</td>
<td>25.18</td>
</tr>
<tr>
<td>13</td>
<td>26</td>
<td>R33-O19</td>
<td>110.18</td>
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<tr>
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<td>R26-O19</td>
<td>80.58</td>
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<tr>
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<td>R23-O19</td>
<td>69.6</td>
<td>31.53</td>
</tr>
<tr>
<td>16</td>
<td>22</td>
<td>BL16-G1</td>
<td>87.22</td>
<td>40.85</td>
</tr>
<tr>
<td>17</td>
<td>19</td>
<td>BL13-G1</td>
<td>76.33</td>
<td>34.51</td>
</tr>
<tr>
<td>18</td>
<td>16</td>
<td>BL10-G1</td>
<td>67.08</td>
<td>30.04</td>
</tr>
<tr>
<td>19</td>
<td>15</td>
<td>BL16-G7</td>
<td>60.28</td>
<td>25.78</td>
</tr>
<tr>
<td>20</td>
<td>12</td>
<td>BL13-G7</td>
<td>49.4</td>
<td>19.45</td>
</tr>
<tr>
<td>21</td>
<td>9</td>
<td>BL10-G7</td>
<td>40.15</td>
<td>14.97</td>
</tr>
<tr>
<td>22</td>
<td>3</td>
<td>R11/G11-BL6/G13</td>
<td>9.33</td>
<td>3.18</td>
</tr>
</tbody>
</table>
experience, are 378.8, 1522, 73.8, and 0.00027. The maximum and minimum headways are 7 and 2 minutes, respectively. The population size, number of generations, crossover rate, and mutation rate, as also obtained from experimental tests, are 50, 200, 0.95, and 0.2.

1. Performance Measures Comparison of Initial Solution Generation Methods

This study examines six combinations of two aforementioned initial solution generation methods and three crossover operators (i.e. one-point, one-point mutation, and two-point), in order to compare the performance of initial solution generation methods. Each combination has ten replications and Table 4 describes the results.

The third row of Table 4 illustrates that initial solutions can be generated within a reasonable amount of time. The 4th row provides the centralization of initial solutions generated by the 1-car method and the minimum-car method. Eq. (28) described below is utilized to compute the variation coefficient on relationships between every two initial solutions \((x, y)\).
of a replication. Each replication is examined to obtain its variation coefficient on relationships. The higher the coefficient, the greater the decentralization of replicated initial solutions. Because the coefficient means of the 1-car method and minimum-car method are 61.0 and 61.1 separately, the distribution of initial solutions generated by these two methods decentralizes. The best solution is obtained through the genetic algorithm searching strategy.

\[ \text{Rel}_{x,y} = \frac{\sum_{i=1}^{n} (TR_i^{x} - TR_i^{y})^2}{n} \]  

The other rows of Table 4 compare solution performance for these two generation methods. The comparison result is described below:

(i) The 5th row identifies that the minimum-car method uses less lower computation time.
(ii) The 6th row identifies that the one-point mutation operator has the lowest fitness and the first-appearing generation of non-inferior solutions. The coefficient of variation 1.6% means the quality is stable.
(iii) The 7th and 8th rows describe the fitness and apparent generation of the first non-inferior solutions to identify which method obtains an acceptable solution in a reasonable number of generations. Although the 1-car method gets the lower mean fitness of 652.5 thousands, the gap between the fitness of these two methods is small (656.1 – 652.5)/656.1 = 0.6%. The variation coefficients are 2.4% and 1.7% separately. That is, the values of the first-appearing non-inferior solutions are very close and stable. Besides, the average first-appearing generation of non-inferior solutions for the minimum-car method is lower than that of the 1-car method. This result means that the minimum-car method obtains an acceptable solution in fewer generations than the 1-car method.
(iv) The 9th and 10th rows describe the fitness and apparent generation of the first final solutions to identify which method obtains the final solution in a reasonable number of generations. The comparison result is the same as the non-inferior solution comparison. The values of the first-appearing final solutions are very close and stable. The average first-appearing generation of final solutions of the minimum-car method is lower than that of the 1-car method. This means the minimum-car method obtains a best solution in fewer generations than the 1-car method.
(v) To sum up, the values of fitness obtained by the 1-car method and minimum-car method are very close. However, the minimum-car method gets faster convergence speed, uses less computation time, and requires fewer generations to obtain final solutions. It obtains solutions with quality as well as efficiency, and is suitable for the MTRND problem.

2. Comparison of Performance Measures for Crossover Operators

The current work examines the case of the same initial solution generated by minimum-car method by these three crossover operators in order to compare crossover operator performance. Each operator has ten replications, described by Table 5. The result of comparison is described below:

(i) The 3rd row identifies that the one-point mutation operator uses the most computation time. Computation times of the one-point operator and the two-point operator are very close and comprise one third of the computation time spent by the one-point mutation operator.
(ii) The 4th row identifies that the one-point operator has the lowest maximum fitness of each run. Convergence speed of this operator is faster than the others.
(iii) The 5th row describes that the two-point operator has the lowest fitness of the first non-inferior solutions. The coefficient of variation 1.6% means the quality is stable.
(iv) The 6th row identifies that the one-point mutation operator has the lowest first-appearing generation of the first non-inferior solutions. But, computation time for this operator is three times that of the others. The one-point operator and the two-point operator obtain an acceptable solution in less time than the one-point mutation operator.
(v) The 7th and 8th row identify that the two-point operator has the lowest fitness and the first-appearing generation of the first final solutions. This means that this operator obtains the best solution in fewer generations than the others.
(vi) In summary, the values of fitness obtained by the three crossover operators are very close. Because of less computation time and fewer generations to obtain final solutions, the two-point operator obtains solutions with quality and efficiency, and is suitable for the MTRND problem.

3. Results for Minimum-car Method Plus Two-point Crossover Operator

The aforementioned analysis results identify that the combination of the minimum-car method and the two-point crossover operator is suitable for the MTRND problem. This work examines thirty replications in order to understand the hybrid algorithm performance. The result is summarized by Table 6 and described below:
(i) The averaged first appearing generation of the first non-inferior solutions is 4. This means that the big-M application described in Eq. (26) is helpful for algorithm convergence.

(ii) The mass transit system will not be crowded by implementation of these thirty final solutions because their fitness is less than the M. Therefore there is no need for shuttle train manual operation, thus solving the dispatching and crowding problem.

(iii) The averaged first appearing generation of the final solutions is sixty. A designer emphasizing computation time can set the maximum generation to 100 to obtain an acceptable best solution.

(iv) The maximum, average, and minimum operating trains used by the final solutions are 56, 54, and 53 separately. This verifies that the proposed algorithm saves operating trains subject to operational constraints. Reducing operating trains is helpful for saving operation cost and providing residual trains for maintenance purpose.

(v) The final solutions are centralized and stable because their coefficient of variation is 2.1%. Eighteen replications get the best solution (fitness = 611.0 thousands) which is 60% of the thirty replications.

(vi) Six replications get the second best solution (fitness = 613.5) which is 20% of the thirty replications.

(vii) The first two best solutions of the final solutions (fitness = 611.0 and 613.5) are 80% of the thirty replications. This verifies that the proposed algorithm obtains good solutions robustly.

(viii) To sum up, the hybrid algorithm with minimum-car method and the two-point crossover operator obtains solutions with quality as well as efficiency, and is suitable for the MTRND problem.

4. Discussion of the Best Solution and the Second Best Solution

Table 7 and Fig. 9 describe the route design and headway configuration of the best solution (fitness = 611.0 thousands) of the thirty replications. Solution characteristics are described below:

(i) The mass transit system will not be crowded and there is no need for the shuttle train. Thus we can dispatch trains automatically and save driver costs.

(ii) The number of operating trains is 53, the same as the TRTC. This means that we can leave five spare trains.

(iii) The solution contains three routes. The route configuration is easy for passengers to remember. The minimum headway appears at the CBD. The situation is common for real cases.

(iv) Because the minimum headway of these three routes is 4.31 minutes, when trains arrive at terminal stations, the drivers have sufficient time to walk from the fronts of trains to the rears to continue on to the next trip without the requirement of an extra driver. Thus the driver cost is saved.

(v) As per passenger transfer penalty, passengers from G1 to R33 can change trains to route 13.
easily at the G10 or G11 station. The average waiting time is 2.5 minutes (half of the headway of route 13). They needn’t spend too much time transferring in the system.

(vi) As per link capacity, the links between BL6/G13 and BL10 own maximum capacity. The headway is 2.41 minutes and still exceeds the minimum constraint of 2 minutes. Additional trains can expand the capacity if needed.

Table 8 and Fig. 10 describe the route design and headway configuration of the second best solution (fitness = 613.5 thousands) of the thirty replications.
Table 8 Route design of the second best solution

<table>
<thead>
<tr>
<th>Route</th>
<th>Origin-destination</th>
<th>Trains used</th>
<th>Headway (min)</th>
<th>Train-km</th>
<th>Train-hour</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>BL37-BL16</td>
<td>19</td>
<td>4.46</td>
<td>597.57</td>
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</tr>
<tr>
<td>13</td>
<td>R33-O19</td>
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<td>4.79</td>
<td>718.43</td>
<td>23</td>
</tr>
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</tr>
<tr>
<td>Total</td>
<td></td>
<td>53</td>
<td></td>
<td>1611.56</td>
<td>53</td>
</tr>
<tr>
<td>Fitness(thousand)</td>
<td></td>
<td>613.5</td>
<td></td>
<td>Total traveling time 83008.2 hours</td>
<td></td>
</tr>
</tbody>
</table>

Because of good cost, transfer convenience, and route configuration combination, the second best solution is worth considering. The designer can decide which solution is suitable to fit his objectives.

VII. CONCLUSIONS

This paper presents a genetic algorithm to solve the MTRND problem on real mass transit networks. In order to overcome special MTRND problem characteristics, the effects of special genetic coding, an initial feasible solution creating method, network representation, repair strategy, improved fitness function, crossover operators, mutation operator, and redundancy checking are illustrated and applied to avoid infeasible initial solutions, save computation time, and improve solution quality. A detailed analysis is carried out examining real the TRTC network in Taipei. Comparisons between two initial solution-creating methods and three operators find out whether combining the minimum-car method and the two-point crossover operator are suitable for this use. Case study results indicate that the model and the proposed algorithm could be useful for the MTRND problem in the real world.

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NOMENCLATURE

- $f_k^i$: trips on the $i$th path of the alternate
- $F_i$: frequency of the $i$th route in trains/hour
- $i$: the $i$th route
- $n$: number of routes in network
- $n_a, n_b, n_c, n_d, n_e$: number of links of type $a, b, c, d, e$ accordingly
- $OT$: minimum allowable headway of segments in hours
- $PT$: maximum allowable headway of segments in hours
- $r$: the $r$th origin station
- $RL_i$: round trip distance of the $i$th route in kilometers
- $RT_i$: round trip time of the $i$th route in hours
- $s$: the $s$th destination station
- $s_i$: binary variable that is 1 if the $i$th route is chosen; 0 otherwise
- $T_{rs}$: trips from the $r$th station to the $s$th station
- $TRC$: train capacity in riderships/train
- $TRC$: fleet size in trains
- $x_{a_r}, x_{b_r}, x_{c_r}, x_{d_r}, x_{e_r}$: integer variables that are flow of link type $a, b, c, d, e$ accordingly in trips
- $\alpha$: operating cost per train-km
- $\beta$: depreciation funds per train-hour
- $\gamma$: passenger’s in-vehicle time cost per hour
- $\lambda$: weight which is decided by designer
\( \theta_{ij} \)

binary variables that are 1 if segment \( j \) is served by the \( i \)th route; 0 otherwise

\( \delta_{ab}, \delta_{bc}, \delta_{ck}, \delta_{ak}, \delta_{ck} \)

binary variables that are 1 if links of type \( a, b, c, d \), and \( e \) are included in the \( k \)th route for the \( rs \)th O-D; 0 otherwise

\( v_a, v_b, v_c, v_d, v_e \)

capacity of links of type \( a, b, c, d \), and \( e \) in trips

\( Z_a, Z_b, Z_c, Z_d, Z_e \)

binary variables that are 1 if the flow of links of type \( a, b, c, d \), and \( e \) exceed their capacity; 0 otherwise; and trips from the \( r \)th station to the \( s \)th station.

\((r, s)\)

REFERENCES


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