Transmission Characteristics of Finite-Width Conductor-Backed Coplanar Waveguide

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Abstract—This paper presents theoretical and experimental results for a finite-width conductor-backed coplanar waveguide (FW-CBCPW). The guiding characteristics of FW-CBCPW are investigated first by the rigorous method of mode matching. An FW-CBCPW through line is then placed within a test fixture, and the scattering parameters of the through line are obtained theoretically by approximating the FW-CBCPW as a simple system of coupled transmission lines. Experimental results are shown to agree very well with the theoretical ones. In particular, the anomalous behavior observed in the transmission characteristic of the through line is related to the resonant phenomenon of the terminated side planes which are short-circuited at both input and output ends due to the test fixture. Finally, a technique of mode suppression in the side-plane regions is suggested for the improvement of signal transmission over a broad band of frequency spectrum. The effects of extra higher order modes on the transmission characteristics at high frequencies are also discussed.

I. INTRODUCTION

A COPLANAR WAVEGUIDE (CPW) may be viewed as a structure composed of two symmetrically coupled slot lines [1]. The most important feature of this type of structure is that the signal line and ground planes are all placed on one side, say the upper side, of the substrate. Thus, a circuit consisting of passive components and active devices can be implemented by CPWs with relative ease [2]-[5]. In contrast, the widely used microstrip line has its ground plane placed on the back side of the substrate; consequently, a circuit element has to be connected through via holes if grounding is required. In practice, however, a coplanar monolithic microwave and millimeter-wave integrated circuit (CMMIC) is usually placed on a ground plane for mechanical support. Because the GaAs substrate is typically thin and fragile; this gives rise to the conductor-backed CPW (CBCPW) [6]. Furthermore, the substrate and upper ground planes (side planes) are always of finite width; as a result, one generally have finite-width conductor-backed coplanar waveguide (FW-CBCPW) which may be viewed alternatively as a system of three coupled microstrip lines, instead of two coupled slot lines. With such an alternative viewpoint, many network characteristics of FW-CBCPW can be easily analyzed and explained. Finally, when an FW-CBCPW of certain length, namely, a through line, is put within a test fixture, the effect of the measuring apparatus has to be taken into account. A theoretical study of FW-CBCPW in such a laboratory setting still remains to be explored.

In this paper, we shall take the alternative viewpoint of coupled microstrip lines for the analysis of an FW-CBCPW through line. Specifically, the structure under investigation is explained in Section II, together with a summary of the literature on the subject. In Section III, an exact method matching is employed, first to determine the guiding characteristics of FW-CBCPW, and the results are then utilized for an approximate analysis of a symmetric FW-CBCPW through line in terms of a four-port network consisting of coupled transmission lines [7]-[9]. The width of side planes, however, is limited to such an extent that its first higher order leaky mode is below cut-off [10] in the frequency range of interest from almost dc to 26.5 GHz. Section IV compares the theoretical results with the measured ones. The anomalous behavior associated with the transmission coefficient of the through line is related to the resonances of the side-plane regions which are short-circuited at both input and output ends. Furthermore, in Section V, it is shown that by shorting the lateral edges of the two side planes, the unwanted zero-cut-off propagating mode will no longer exist and the resonances of the side-plane region are then suppressed. Experimental results are given to confirm that the transmission can indeed be improved over a wide band of frequency. Such approach of mode suppression should be made with caution, because another type of extra higher order mode will appear above certain threshold frequency, upon which the anomalous resonant phenomenon will start to appear. Finally, some conclusions are given in Section VI.

II. STATEMENT OF PROBLEM AND BRIEF SUMMARY OF LITERATURE

Fig. 1 shows the cross-sectional geometry of an FW-CBCPW under investigation, with the coordinate system also attached. It consists of three coplanar metal strips of finite width, $W$ and $W_G$ for the center and side strips, respectively. The metal strips have a small thickness $t$ and a large but finite conductivity $\sigma$, and the distance between two neighboring strips is denoted by $S$. The substrate has dielectric constant $\varepsilon_r$, a thickness $h$, and a finite width with an excessive portion denoted by $W_D$ on each side. The structure is placed on a thick ground plane for mechanical support. In order to discretize the modes in the vertical direction, a perfectly
conducting plate is placed at a distance above the structure. Since the guided energy is mostly confined under the metal strips, the introduction of the extra conducting plate at a sufficiently large distance will not perturb significantly the guiding characteristics of the structure. We had presented an exact formulation of such a general structure by the method of conformal mapping [11]-[14], and the results previously obtained will be utilized here.

Fig. 2 depicts one example of testing a CPW through line circuit. Each of input port and output port of the CPW test fixture has a conducting plane allowing a small hole for probe contact. The test condition shown in Fig. 2 is different from that of the on-wafer CPW probe system [15], [16], where the CPW probes make contact on the CPW circuit and there are no conducting planes near the probe tips. Fig. 2 also reflects a much more realistic test condition if one considers that the CMMIC has been separated and placed into a metallic housing or similar environments allowing external connections for signal ports. Shih also reported a similar arrangement to Fig. 2 for the on-wafer testing of the CBCPW through line or the shorted line [17]; he applied via holes at both ends of side planes near the center signal line. The main purpose of this paper is to study the transmission characteristics of FW-CBCPW through line within a test fixture, as shown in Fig. 2.

In what follows, a brief account of researches conducted on the CPW is summarized. The characterization and development of CPW technology have gradually evolved since its introduction in 1969 [1]. C. P. Wen formulated a quasi-static conformal mapping method to obtain the propagation constant and the characteristic impedance of the dominant CPW mode. Knorr [18] adopted the spectral-domain approach (SDA) [19] and reported the dispersive propagation characteristics of the CPW. Kitazawa [20] and Sorrentino et al. [21] reported the effects of metal thickness on the CPW by using the full-wave approach. The slow-wave propagation of the CPW has been extensively investigated by Itoh and his co-workers [22]-[25]. Although the back-side ground plane is not required for supporting the CPW mode, the CPW is subject to the influence of the lower ground plane in practice. Itoh and Shih first investigated the dominant CPW mode propagation of the so-called conductor-backed CPW (CBCPW) using the full-wave SDA [6]. It has been found that the influence of the ground plane on the propagation characteristics can be significant when the substrate thickness becomes comparable to the slot width.

In the CBCPW with a semiconductor substrate mounted on a low-permittivity buffer layer, the short circuit and discontinuity may cause parasitic radiation effects due to the generation of parasitic modes such as space waves and surface waves [26]-[27]. The most likely excited parasitic modes in these circuits are the parallel-plate transmission-line modes. Besides the parasitic radiation effect, the conductor backing may result in the leakage of power into the dielectric-filled parallel-plate regions at all frequencies [28]. The leakages associated with the conductor-backed slot line and the coplanar waveguide (CPW) also depend on the lateral extent (width) of either the conductor backing or the side planes constituting the slot line or the CPW. In some cases, as shown in [28]-[30], the surface-wave leakages occur at higher frequencies for CPW or slot lines with finite-width side planes (See [28, p. 201, Fig. 1]). The effect of finite-width side planes of CPW or CBCPW on transmission characteristics can not be neglected.

The microstrip-like mode (MSL) can result from the CPW of finite-width side planes with or without the conductor backing. Jackson identifies this as a coplanar microstrip mode (CPM) for CPW with conductor backing [31], while Shigesawa and Tsuji name this as a CPW surface-wave-like (SWL) mode. The aforementioned parasitic TM0 parallel-plate mode or surface wave excited by the discontinuities now may be converted into the bounded MSL mode. Thus the CPW structure is overdetermined. When the substrate is of finite extent, the conventional CPW mode and the MSL mode constitute two dominant modes below a critical frequency. Above the critical frequency, a leaky wave in the form of TM0 surface wave or TE0 surface wave will occur for the case with or without conductor backing, respectively. In the overmoded CPW circuits, the mode conversion among the incident, transmitted and reflected waves will take place. The CBCPW-MS discontinuity problem of various gap ends has demonstrated such mode conversion process [31]. When an additional substrate of lower dielectric constant is attached right below the GaAs substrate, the CPW mode and microstrip-
like mode may become matched in phase and strong mode coupling may occur at certain frequency. The conversion gain, i.e., the percentage of incident CPW mode converted to the MS mode, shows a resonance-like response at 60 GHz for certain thickness of the additional substrate [31]. When mode conversion of mode coupling appear in the CPW circuit, the electromagnetic energy is no longer confined in the vicinities of CPW slot surfaces; rather, the energy may be carried away by the microstrip-like mode, leaky wave, or other higher order modes, depending on the frequency of operation and the waveguiding structure. Such non-CPW type of energy can couple itself to the neighboring circuits and produce a crosstalk in the CPW circuit. One example is given in [32] showing the dynamic coupling of a narrow-pulse transmission on conventional CPW into its nearby short-circuited CPW.

Considerable efforts have been devoted to the reduction of interferences in the overmoded CBCPW circuits. For examples, the increase of substrate thickness and side-plane width can decrease the excitation energy of MSL mode [33], and the additional buffer layer can decrease the conversion gain of MSL mode in the gap end of FW-CBCPW circuit [31] and eliminate the leakage problems in CBCPW MICs [34].

Because of the symmetry of the structure, a magnetic wall may be placed in the middle of the signal line for the symmetric modes. Fig. 3 illustrates the cross-sectional electric field lines of the symmetric modes in an FW-CBCPW of finite-width substrate and side planes. The dominant CPW mode has its field pattern sketched in Fig. 3(a). The energy may leak away from the dominant CPW mode if the substrate were extended to infinity; however, it is now totally reflected by the lateral dielectric boundaries and it becomes bounded in the case of finite-width substrate [14]. The MSL mode, as shown in Fig. 3(b), is another propagating mode resembling the parallel-plate transmission-line mode. Note that such MSL mode inherently exists in the FW-CBCPW circuit if no other means of mode suppression are applied. If the side planes are wider, the next higher order MSL mode may exist, as shown in Fig. 3(c). There exists one change over the electric field pattern underneath the side plane. Fig. 3(d) sketches the image-guide-like propagating model provided the substrate is protruded further away from the side plane. The leaky waves will occur for those higher order modes other than the CPW mode (Fig. 3(a)), the MSL mode (Fig. 3(b)), and image-guide-like mode (Fig. 3(d)), which exhibit the propagation characteristics of zero cut-off frequency. On the other hand, the higher order MSL mode shown in Fig. 3(c) can leak below certain onset frequency since its phase constant is less than free space wavenumber $k_0$.

For the aforementioned overmoded CBCPW circuit of finite-width side planes and finite-width substrate should be investigated on its propagation characteristics thoroughly. Given an overmoded FW-CBCPW through line placed in a test fixture with vertical conducting side walls attached to both input and output ports of the through line, this paper aims to investigate theoretically and experimentally its transmission characteristics and explains physically how the observed resonances are related to the overmoded situation.
with the top cover removed and the leakage loss can still be neglected in our particular case study.

As frequency decreases, the MSL mode no longer confines its electromagnetic energy underneath the metal strips but more energy spreads into the nearby air region. Because of this proximity effect in the presence of the finite-width substrate [35], the normalized phase constants of the MSL mode, as denoted by solid line and circular symbols in Fig. 4, greatly decrease in the low frequency limit.

B. The Equivalent 4-Port Model and Its Normalizing Impedances

Under the physical conditions described in the preceding section, we may use a very effective method of approximation to obtain the S-parameters of an FW-CBCPW through line provided only the CPW mode and MSL mode are excited. In this way, the coupling between the signal line and the finite-width side planes and the response of the overmoded FW-CBCPW through line to the test condition of Fig. 2 thoroughly depend on the excitation of CPW mode (Fig. 3(a)) and MSL mode (Fig. 3(b)). We incorporate a 2N-port model of (N + 1) coupled transmission lines. The N dominant modes are applied to investigate the overmoded FW-CBCPW through line. Because of the symmetry, a magnetic wall is placed at the center of the signal strip; only half of the equivalent circuit needs to be shown. The width of the side planes, however, is limited to a certain extent that the next higher order mode such as the one shown in Fig. 3(c) is well below cut-off [10] at the highest frequency limit of our study, i.e., 26.5 GHz. Only the two possible modes will contribute to the transmission characteristics of the through line. These two modes are always present since the CBCPW has finite ungrounded side planes. The FW-CBCPW through line now becomes a 4-port microwave network, i.e., N = 2

in our analysis. Fig. 5 shows the equivalent circuit of Fig. 2 for a coplanar-strip through line. Referring to Fig. 2, where an FW-CBCPW through line is mounted on the MTF-26 test fixture, two ends of center signal line are connected with the 50 Ω probe tips. The two side planes of the FW-CBCPW contact the conducting side wall of the fixture. Therefore, the ports 2 and 4 of Fig. 5 are connected to ground. Because of the half circuit, the normalizing impedances for ports 1 and 3 are doubled to 100 Ω. Thus the terminating conditions for the 4-port system are defined and its corresponding normalizing impedance matrix is shown as

\[
[Z_0] = \begin{bmatrix}
100 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 100 & 0 \\
0 & 0 & 0 & 0 \\
\end{bmatrix}
\]  

(1)

C. The Scattering Parameters of the FW-CBCPW Through Line

When the Poynting powers \( P_{\text{CPW}} \) and \( P_{\text{MSL}} \) are obtained for CPW mode and MSL mode, respectively in Fig. 4, we may define the power matrix \( [P] \) and the eigen-current matrix \( [M_I] \) as follows [8], [11], [12]:

\[
[P] = \begin{bmatrix}
P_{\text{CPW}} & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
\end{bmatrix}
\]  

(2)

and

\[
[M_I] = \begin{bmatrix}
I_{\text{CPW}} & I_{\text{MSL}} \\
I_{\text{CPW}} & I_{\text{MSL}} \\
\end{bmatrix}
\]  

(3)

where subscripts 1 and 2 denote strip 1 and strip 2 of Fig. 5. For example, \( I_{\text{CPW}} \) is the CPW-mode current flowing in strip 2 of Fig. 5. After obtaining the complex propagation constants, \( \gamma_{\text{CPW}} \) and \( \gamma_{\text{MSL}} \), the matrix \( [P] \) and \( [M_I] \), the well-known 2N-port admittance matrix [8] is given by

\[
[Y_{2N}] = \begin{bmatrix}
[Y_a] & [Y_a] \\
[Y_a] & [Y_a] \\
\end{bmatrix}
\]  

(4)

where

\[
[Y_a] = [M_I][\coth(j\gamma_{\text{MSL}})]^{-1}[M_I]^* 
\]  

(5)
Fig. 6. The photograph of the FW-CBCPW through line mounted on the CASCADE MTF-26 test fixture.

and

\[
[Y_b] = [M_t][-c\text{sch}(j\pi l)]_{\text{diag}}[P]^{-1}[M_t]^* \tag{6}
\]

where \( l \) is the physical length of the through line. The asterisk (*) denotes the conjugate transpose of the matrix manipulation. Invoking (1) and (4), we can obtain the \([S]_{4 \times 4}\) matrix describing the FW-CBCPW through line under proper termination [36]

\[
[S]_{4 \times 4} = [Z_0]^{1/2}([Z_0 ]^{-1} - [Y_{2N}]) ([Z_0 ]^{-1} + [Y_{2N}])^{-1}[Z_0 ]^{-1/2}.
\]

The 2-port \( S \)-matrix \([S]_{2 \times 2}\) of the FW-CBCPW through line then can be extracted from the 4-port scattering matrix \([S]_{4 \times 4}\), i.e.,

\[
[S]_{2 \times 2} = \begin{bmatrix} S_{11} & S_{13} \\ S_{31} & S_{33} \end{bmatrix}
\]

\[= \begin{bmatrix} S_{11} & S_{13} \\ S_{31} & S_{33} \end{bmatrix}
\]

in which we must have \( S_{13} = S_{31} \), since the through line is reciprocal.

IV. THEORETICAL RESULTS AND EXPERIMENTAL VERIFICATION

Fig. 6 is the photograph of the FW-CBCPW through line test setup using the CASCADE MTF-26 test fixture. The FW-CBCPW through line under test consists of an RT/duroid\textsuperscript{TM} 6010 substrate and a wide copper ground plate. The through line length \( L \) is equal to 38.1 mm. The measured \( S \)-parameters of the FW-CBCPW through line are obtained by the HP8510 network analyzer swept from 0.066 GHz to 26.5 GHz.

Figs. 7(a) and (b) compare the theoretic and experimental data for the magnitude of transmission coefficient \(|S_{31}|\) and reflection coefficient \(|S_{11}|\) of the FW-CBCPW through line, respectively. Both plots show that the theoretic results are in very good agreement with the measured values. Many resonant points are observed in Fig. 7. They are separated by approximately equal frequency interval. In other words, these resonant frequencies show certain periodicity. When the FW-CBCPW through lines resonates, only small amounts of electromagnetic energy can be transferred and most energy is reflected at input end. This can be clearly seen by overlaying Figs. 7(a) and (b). Such phenomenon severely deteriorates the CBCPW circuit performance. In CMMIC such phenomenon will also jeopardize the desired circuit response.

In view of Figs. 2, 5, and 6, the resonance should occur between the two vertical conducting walls of the test fixture since the other two sides are unbounded. In other words, it looks like a transmission-line resonator with its electromagnetic energy reflected between the two conducting side walls. Furthermore, the CPW mode has its electromagnetic energy confined near the slot surfaces and it can propagate through the tiny hole of the fixture and reaches to the external coaxial cable. In contrast, the MSL mode has its electric field lines polarized vertically underneath the metal strips (See Fig. 3(b)) and parallel to the two conducting side walls of the test fixture. Consequently, the MSL mode can hardly propagate through the tiny hole and is reflected back and forth inside the test
Table I extracts the resonant frequencies shown in Figs. 7(a) and (b). They appear in the second and third columns of Table I for the measured and theoretic data, respectively. The resonant frequencies obtained from both measurement and theory agree up to the seventeenth resonance. The last two resonant frequencies, the 18th and the 19th, deviate slightly by approximately 0.5 GHz.

The fourth column invokes the following equation for determining the resonant frequencies if the MSL mode is assumed to contribute to the half-wavelength transmission-line resonator.

\[ \beta_{\text{MSL}}(f_n) \times L = n\pi \quad (9) \]

The asterisks shown in Table I indicate that no sharp resonance exists and a very good transmission of power is obtained. At the 12th resonant frequency, i.e., 16.5 GHz, the phase difference between the CPW mode and the MSL mode at the output port is almost 2\(\pi\). Since the total field is the superposition of the two modes, the field pattern at the output port is an exact replica of that existing in the input port. Because the FW-CBCPW is so designed, it shows 50 \(\Omega\) characteristic impedance when the side planes are grounded. Therefore, the signal generator of 50 \(\Omega\) internal impedance can transmit power into the FW-CBCPW without reflection. As a result, we observe an almost perfect signal transmission at 16.5 GHz from the theoretic calculation. However, the measured transmission band is shifted by about 1.4 GHz higher than the theoretical one. Our experience in the through line analysis indicates that above 16 GHz, the little difference between theoretical and practical propagation constants of the CPW and MSL modes, say 1.0% deviation, will have the resonant frequency shifted by more than 0.17 GHz and the transmission band shifted by approximately 1.0 GHz. Since the propagation constants of the individual CPW and MSL modes may deviate appreciably from the actual values of the coupled system, particularly in the high frequencies, the good agreement in the low frequency range and the discrepancy at high frequencies between the theory and experiment should be expected.

In summary, the extra MSL mode may result in the undesired resonance or crosstalk in a practical FW-CBCPW circuit. If its presence cannot be avoided, we may keep the CBCPW circuit much smaller than a half wavelength.
of the MSL mode. The MSL mode can have its wavelength approximated by \( \lambda_0/\sqrt{\varepsilon_r} \), where \( \varepsilon_r \) is the relative dielectric constant of the CBCPW substrate [31]. Therefore, the terminated FW-CBCPW through line should have its physical dimension satisfying the following condition to avoid resonance or crosstalk:

\[
L_{\text{max}} << \left( \frac{\lambda_0}{\sqrt{\varepsilon_r}} \right)/2
\]

where \( \lambda_0 \) is the free-space wavelength.

V. THE IMPROVEMENT ON THE THROUGH LINE PERFORMANCE OF FW-CBCPW

As the problem of the MSL mode in the FW-CBCPW circuit is identified, we may adopt a similar method reported in [17] to suppress the MSL mode by uniformly grounding the two outer edges of the side planes. Fig. 8 shows the cross-sectional geometry of the modified FW-CBCPW structure. The material constants and the structural parameters used in the through line test circuit are also listed in Fig. 8. The electromagnetic field of the dominant CPW mode is confined mostly by the surrounding conductor. Fig. 9 shows the measured magnitude of transmission coefficient \( |S_{21}| \) and reflection coefficient \( |S_{11}| \) from 0.066 GHz to 26.5 GHz. The experimental results demonstrate very smooth through line performance below 17 GHz, above which appreciable amount of transmission losses and resonance occur. To understand why this happens, Fig. 10 shows the dispersion characteristics of the modified FW-CBCPW. Note that the first higher order mode leaks below 19 GHz and has appreciable leakage effect \( (\alpha/k_0 < 1.0) \) from 17 to 19 GHz. By applying (12), we may estimate that the first resonant frequency located at 17.7 GHz.

\[
\beta_{\text{leak}}(f_0) \times L = n\pi.
\]

It is close to the experimental data shown in Fig. 9. The other resonant frequencies can also be estimated similarly. We may conclude that the resonant phenomenon is mainly due to the excitation of the first higher order mode. The modified FW-CBCPW becomes overmoded above 17 GHz and results in similar anomalous resonant phenomenon observed in the preceding section. In practice, the modified FW-CBCPW should be designed with proper dimension so that extra higher order mode will not be excited.

VI. CONCLUSION

An FW-CBCPW of finite-width side planes and substrate is treated as a system of three coupled microstrip lines. The dispersion characteristics of the FW-CBCPW are obtained by rigorous full-wave mode-matching method incorporating the metal modes. A general 4-port network representation of the half circuit of the FW-CBCPW through line is derived while the normalizing impedance matrix that defines the boundary condition of the test fixture is obtained. The measured scattering parameters agree very well with the theoretic results. The anomalous resonant phenomenon associated with the scattering parameters of the through line is related to the multiple of half-wavelength resonance of the extra MSL (microstrip-like) mode. Such resonance exists almost periodically between dc and 26.5 GHz in our particular case study. An experimental study on a modified FW-CBCPW through line with two side
planes grounded on the side wall demonstrates a smooth transmission characteristic below 17 GHz. Above 17 GHz, extra higher order modes may appear and result in a similar resonant phenomenon. When the overmoded situation can not be avoided, the FW-CBCPW length should be kept as short as possible, i.e., much less than the half wavelength of MSL mode, to avoid excessive cross-talk (coupling) or possible resonance. If a mode-suppression technique, such as the one shown in Section V, is applied to the modified FW-CBCPW to eliminate the MSL mode, a first higher order mode will extend and produce the undesired resonant phenomenon in the through-line measurement. In practice, the modified FW-CBCPW should keep its side-plane width small enough such that the onset frequency of the extra higher order mode is well beyond the desired upper frequency limit of the CBCPW circuit.

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REFERENCES


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